I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents,

Washington, D.C. 20231 on <u>Sept 20</u>, 2004

Attorney Docket No.: 02307O-087910US

TOWNSEND and TOWNSEND and CREW LLP

Mark T. Davis

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Larry M. Rose et al.

Patent No.: 6,783,936 B2

Issued: August 31, 2004

For: ANTIGENIC EPITOPES WITH LYM-1 REACTIVITY AND USES

**THEREOF** 

Certificate of Correction Branch Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Examiner:

Sheela J. Huff

Art Unit:

1642

REQUEST FOR CERTIFICATE OF CORRECTION UNDER §1.323

Certificate SEP 3 0 2004

of Correction

Pursuant to 37 CFR §1.323 Applicant submits a Certificate of Correction correcting a minor error by Applicant. Specifically, the work underlying the invention was supported by a Government grant. The desired correction acknowledges the Government support for the invention and is set forth on form PTO 1050, enclosed.

Please deduct the fee, pursuant to 37 CFR §1.20(a), of \$100.00 from deposit account 20-1430 and any additional fees associated with this Certificate. This Request is submitted in triplicate.

09/27/2004 GWORDOF1 00000080 201430 6783936

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Respectfully submitted,

Laurende J. Hyman Reg. No. 35,551

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel. (415) 576-0200 Fax (415) 576-0300

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO

US 6,783,936 B2

**DATED** 

August 31, 2004

INVENTOR(S)

Larry M. Rose et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In the specification, column 1, beginning at line 4, insert

--This invention was made with Government support under Grant No. CA47829, awarded by the National Institutes of Health. The Government has certain rights in this invention.--

MAILING ADDRESS OF SENDER:

Laurence J. Hyman, Reg. No. 35,551 Townsend and Townsend and Crew LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 PATENT NO. 6,783,936 B2

No. of additional copies:



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1 700 PM		PTO/SB/17 (10-03)
EEE TOANSMITTAL		Complete if Known
EE TRANSMITTAL for FY 2004	Application Number	09/832,510
tor FY 2004	Filing Date	April 10, 2001
Effective 10/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Rose, Larry
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Huff, Sheela Jitendra
	Art Unit	1642
TOTAL AMOUNT OF PAYMENT (\$) 100	Attorney Docket No.	02307O-087910US

	METHOD OF	PAYMENT (check all that apply)	·	FEE CALCULATION (continued)					
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	SUBT	OTAL (1) (\$)		1452	110	2452	55	Petition to revive – unavoidable	
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	ا و	UBTOTAL (2) (\$)		Other fe	e (specify)	Reque	st for Certifi	cate of Correction	100
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SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Laurence J. Hyman	Registration No. (Attorney/Agent)	35,551	Telephone	415-576-0200			
Signature	ance	~ /X/-		Date	September (17 ), 2004			

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PTO/SB/21 (04-04)

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		FORM		First Named Inventor	·Ro	se, Ları	ry
	(to	be used for all correspondence after initial fi	ing)	Art Unit	164	42	
				Examiner Name	Hu	ff, Shee	ela Jitendra
	Tot	al Number of Pages in This Submission	4	Attorney Docket Number	023	3070-0	87910US
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<b>for FY 2004</b>	Filing Date	April 10, 2001	
for FY 2004  Effective 10/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Rose, Larry	
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Huff, Sheela Jitendra	
	Art Unit	1642	
TOTAL AMOUNT OF PAYMENT (\$) 100	Attorney Docket No.	02307O-087910US	

	METHOD OF PAYME	NT (check all that apply)	· ·			FEE CA	ALCULATION (continued)	
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Deposit			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsend and	Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name			1812	2,520	1812	2,520	For filing a request for reexamination	
C	uthorized to: (check ) indicated below	all that apply)  Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
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	tified deposit account.	ept for the ming fee	1251	110	2251	55	Extension for reply within first month	
	FEE CAI	LCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FI	LING FEE		1253	950	2253	475	Extension for reply within third month	
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		at filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
		ssue filing fee	1403	290	2403	145	Request for oral hearing	
		risional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	3
	SUBTOTAL (1	(\$)	1452	110	2452	55	Petition to revive – unavoidable	
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	•	Fee from	1502	480	2502	240	Design issue fee	
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Dependent Large Entity	Small Entity		8021	40	8021	40	Recording each patent assignment per	
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SUBMITTED BY				C	omplete (if applicable)
Name (Print/Type)	Laurence J. Hyman	Registration No. (Attorney/Agent)	35,551	Telephone	415-576-0200
Signature	ance	~ 18/-		Date	September (17), 2004

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FORM	First Nam	ed Inventor	<del></del>	e, Larry				
(to be used for all correspondence after initial filing	) Art Unit		1642					
	Examiner	Name	<u> </u>	, Sheela Jitendi	ra			
Total Number of Pages in This Submission	Total Number of Pages in This Submission 4 Attorney Docket Number 02307O-087910US							
	ENCLOSURE	S (Check all that	64					
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Typed or printed name Mark T. Davis		· · · · · · · · · · · · · · · · · · ·						
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## UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO

US 6,783,936 B2

DATED

August 31, 2004

INVENTOR(S)

Larry M. Rose et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In the specification, column 1, beginning at line 4, insert

-- This invention was made with Government support under Grant No. CA47829, awarded by the National Institutes of Health. The Government has certain rights in this invention .--

MAILING ADDRESS OF SENDER:

Laurence J. Hyman, Reg. No. 35,551 Townsend and Townsend and Crew LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

PATENT NO. 6,783,936 B2

No. of additional copies:



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	FEE TRANSMITTAL		Complete if Known
2		Application Number	09/832,510
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	Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Huff, Sheela Jitendra
	_	Art Unit	1642
	TOTAL AMOUNT OF PAYMENT (\$) 100	Attorney Docket No.	02307O-087910US

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	uthorized to: (check all that apply)	1812	2,520	1812 -	2,520	For filing a request for reexamination	
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	CUSTOTAL (I)	1452	110	2452	55	Petition to revive - unavoidable	
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Large Entity	Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
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1202 18 1201 86	2202 9 Claims in excess of 20 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be	
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SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Laurence J. Hyman	Registration No. (Attorney/Agent)	35,551	. Telephone	415-576-0200	
Signature	ano	100		Date	September (17), 2004	

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	Information Disclosure Statement	
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			PTO/SB/21 (04-04)
	Application Number	09/832,510	
L	Filing Date	April 10, 2001	
	First Named Inventor	Rose, Larry	
	Art Unit	1642	
	Examiner Name	Huff, Sheela Jitendra	
	Attorney Docket Number	02307O-087910US	

ENCLOSURES (Check all that apply)								
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	or Individual name Laurence J. Hyman Reg. No. 35,551							
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed	or printed name Ma	lark T. Davis						
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Attorney Docket No.: 02307O-087910US

TOWNSEND and TOWNSEND and CREW LLP

By Made F David

Mark T. Davis

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Larry M. Rose et al.

Patent No.: 6,783,936 B2

Issued: August 31, 2004

For: ANTIGENIC EPITOPES WITH LYM-1 REACTIVITY AND USES

**THEREOF** 

Examiner:

Sheela J. Huff

Art Unit:

1642

REQUEST FOR CERTIFICATE OF CORRECTION UNDER §1.323

Certificate of Correction Branch Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.323 Applicant submits a Certificate of Correction correcting a minor error by Applicant. Specifically, the work underlying the invention was supported by a Government grant. The desired correction acknowledges the Government support for the invention and is set forth on form PTO 1050, enclosed.

Please deduct the fee, pursuant to 37 CFR §1.20(a), of \$100.00 from deposit account 20-1430 and any additional fees associated with this Certificate. This Request is submitted in triplicate.

Respectfully submitted,

aurence J. Hyman

Reg. No. 35,551

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SEP 9 0 2004

## UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO

US 6,783,936 B2

DATED

August 31, 2004

INVENTOR(S)

Larry M. Rose et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In the specification, column 1, beginning at line 4, insert

--This invention was made with Government support under Grant No. CA47829, awarded by the National Institutes of Health. The Government has certain rights in this invention .--

MAILING ADDRESS OF SENDER:

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PATENT NO. 6,783,936 B2

No. of additional copies:



Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. 60312044 v1